Sent By: IP Strategy Group; 408-257-5550; Aug-4-06

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CUSTOMER NO. 32,986 IP STRATEGY GROUP, P.C.

Intellectual Property Law Office P.O. Box 700640 San Jose, CA 95170-0640

ATTORNEY DOCKET NO. LMRX-P032/P1205

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: KIM et al

Serial No.: 10/804,430 (US Pat No. 7,078,350)

Filed: March 19, 2004 (Granted 7/18/2006)

Title: METHOD FOR THE OPTIMIZATION OF SUBSTRATE ETCHING IN A PLASMA PROCESSING SYSTEM

The UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1765

Examiner: UNASSIGNED

Confirmation No.: 7228

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to the patentability of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97 and include a copy of the International Search Report and Written Opinion mailed May 15, 2006. The references listed therein also were cited by the U.S. Examiner in a prior Office Action.

The Examiner is requested to make these references of official record in this application. The information contained herein was first cited in a communication from a foreign patent office in a counterpart foreign application less than 3 months prior to the filing of this document.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Applicant believes that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-2284 (Order No. LMRX-P032).

Respectfully submitted.

/Joseph A. Nguyen/ Reg. 37,899

Joseph A. Nguyen (408) 257-5500

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Form 1449 (Modified)	Atty Docket No.	Application No.:	
	LMRX-P032/P1205	10/804,430 (US Pat No. 7,078,350	)
Information Disclosure	Applicant:		
Statement By Applicant	KIM et al	•	RECEIVED
•	Filing Date	Group	CENTRAL FAX CENTER
	March 19, 2004		AUG 0 4 2006
	(Granted 7/18/2006	<b>5)</b> 1765	

## **US PATENT DOCUMENTS**

No.	Document Number	Publication Date	Name of Patentee or Applicant	Reference to Related Case
1	US03/190807	10/09/2003	Soda et al.	
2	6,583,047	06/24/2003	Daniels et al.	
3	6/716,742	04/06/2004	Gates et al.	
4	US01/000246	04/12/2001	Tang et al.	
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	No. 1 2 3 4	1 US03/190807 2 6,583,047 3 6/716,742	1 US03/190807 10/09/2003 2 6,583,047 06/24/2003 3 6/716,742 04/06/2004	Applicant  1 US03/190807 10/09/2003 Soda et al.  2 6,583,047 06/24/2003 Daniels et al.  3 6/716,742 04/06/2004 Gates et al.

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or	1	Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No

## **OTHER DOCUMENTS**

Examiner Initials	No.		
	5	PCT International Search Report mailed 05/15/2006, re PCT/US05/07886	
	6	PCT Written Opinion mailed 05/15/2006, re PCT/US05/07886	
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			1

Examiner	Date	
Signature	Considered	